



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/476,386

12/30/99

GERMAN

D

0042-0100

BEST AVAILABLE COPY

0242/0210

ADAM AVRUNIN ESQ
JONES AND ASKEW LLP
2400 MONARCH TOWER
3424 PEACHTREE ROAD NE
ATLANTA GA 30326

2768

DATE MAILED:

02-10/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1018.00

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 1090.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 198.00 for 11 total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

04/19/2000 KZENDIE 00000096 09476386

01 FC:101
02 FC:103

690.00 OP
198.00 OP

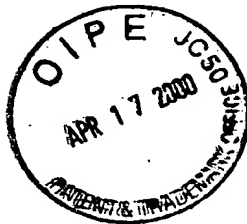
IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of:
Dan A. German et al.

Docket No. 06042-0160

Serial No. **09/476,386**

Filed: **December 30, 1999**



For: **METHOD AND SYSTEM FOR FACILITATING FINANCIAL TRANSACTIONS BETWEEN CONSUMERS OVER THE INTERNET**

Assistant Commissioner for Patents
 Washington, D.C. 20231
 Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED

Transmitted herewith are papers in the above-identified application.

- ☒ Duplicate copy of Form PTO-1533-Notice to File Missing Parts of Application – Filing Date Granted.
- ☒ An executed Declaration and Power of Attorney (5 sheets).
- ☒ PTO-1595, executed Assignments from the Applicants to First Data Corporation and a check in the amount of \$40.00 for the recordation fee.
- ☒ The basic filing fee and surcharge for late filing of these parts is calculated below..

SMALL ENTITY				OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE FEE
BASIC FEE			\$345	\$690	\$690
TOTAL CLAIMS	31 - 20 =	11	x9 =	x18 =	\$198
INDEP. CLAIMS	3 - 3 =	0	x39 =	x78 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+130 =	+260 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =	+130 =	\$130
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =	+130 =	
<input checked="" type="checkbox"/> OTHER (Petition for 3-Month Ext. of Time)			+435 =		
TOTAL				TOTAL	\$1,018

- ☒ A check in the amount of **\$1,018.00** is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 10-1215. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 31, 2000.

Adam Avrunin
 Adam Avrunin- Reg. No. 45,457

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